

1 Summary Judgment.

2 **JURISDICTION**

3 On November 13, 2008, Plaintiff filed a Title II application
4 for a period of disability and disability insurance benefits, along
5 with a Title XVI application for supplemental security income
6 alleging disability in both claims beginning June 1, 2004. Tr. 23;
7 146. Plaintiff reported that he could not work due to problems with
8 his back and left arm, diabetes, vision problems, depression,
9 suicidal ideation, arthritis, high blood pressure, obesity and a
10 lack of reading and writing skills. Tr. 149. Plaintiff's claim was
11 denied initially and on reconsideration, and he requested a hearing
12 before an administrative law judge (ALJ). Tr. 81-123. A hearing
13 was held on April 9, 2010, at which vocational expert Daniel R.
14 McKinney and Plaintiff, who was represented by counsel, testified.
15 Tr. 46-80. ALJ James W. Sherry presided. Tr. 46. At the hearing,
16 Plaintiff agreed to amend the onset date to April, 2008. Tr. 50-52.
17 The ALJ denied benefits on April 21, 2010. Tr. 23-38. The instant
18 matter is before this court pursuant to 42 U.S.C. § 405(g).

19 **STATEMENT OF THE CASE**

20 The facts of the case are set forth in detail in the transcript
21 of proceedings and are briefly summarized here. At the time of the
22 hearing, Plaintiff was 54 years old, and living in an apartment with
23 his wife and 23 year-old daughter. Tr. 52-53. He has an eighth-
24 grade education and he can perform simple reading, writing and math.
25 Tr. 53.

26 Plaintiff's work experience includes working for a print shop,
27 moving rolls, unloading pallets and driving a forklift. Tr. 55-56.
28 Plaintiff stopped working because the printing plant closed. Tr.

1 55.

2 Plaintiff is five-foot seven inches tall, and he weighs 229
3 pounds. Tr. 52. He testified that his back hurts all the time, and
4 the pain makes his legs wobbly and his left arm tingly. Tr. 59. He
5 also said he suffers from sharp pains in his stomach. Tr. 59-60.
6 Plaintiff testified that his prescribed medications make him tired,
7 and he does not sleep well. Tr. 61. He said that he has diabetes,
8 his eyesight is poor, his left shoulder is impaired and he cannot
9 lift his arm. Tr. 61. He testified that he is unable to bend over.
10 Tr. 62. Plaintiff said he can do a little bit of vacuuming before
11 he gets too tired. Tr. 63. He said he spends at least half of each
12 day watching television and lying on the couch. Tr. 64.

13 Plaintiff said that he used "crystal meth" for about four to
14 five years while he was homeless in California. Tr. 67. He said he
15 has been clean for about three years. Tr. 66.

16 **ADMINISTRATIVE DECISION**

17 At step one, ALJ Sherry found that Plaintiff had not engaged in
18 substantial gainful activity since May 1, 2008. Tr. 25. At step
19 two, he found Plaintiff had the severe impairments of rheumatoid
20 arthritis, arthritis of left hand and shoulder; lumbar degenerative
21 arthrosis and spondylosis; diabetes; exogenous obesity;
22 hypertension; and hypercholesterolemia. Tr. 25. At step three, the
23 ALJ determined Plaintiff's impairments, alone and in combination,
24 did not meet or medically equal one of the listed impairments in 20
25 C.F.R., Subpart P, Appendix 1 (20 C.F.R. §§ 416.920(d), 416.925 and
26 416.926). Tr. 27. The ALJ found Plaintiff has the residual
27 functional capacity ("RFC") to perform light work with the following
28 limitations:

1 He is unlimited in his ability to push and pull within the
 2 lifting restrictions. He should never climb ladders,
 3 ropes, and scaffolds, and he can occasionally climb stairs
 4 and ramps. He can occasionally stoop, kneel, and crawl[1]
 5 [sic]. He can frequently balance and crouch. He should
 avoid concentrated exposure to moving machinery and
 unprotected heights. He can perform work tasks involving
 one and two step instructions.

6 Tr. 29-30. In step four findings, the ALJ found Plaintiff's
 7 statements regarding pain and limitations were not credible to the
 8 extent they were inconsistent with the RFC assessment. Tr. 31. The
 9 ALJ found that Plaintiff is incapable of performing past relevant
 10 work. Tr. 36. After considering Plaintiff's age, education and
 11 work experience, and residual functional capacity, the ALJ
 12 determined that jobs exist in significant numbers in the national
 13 economy that Plaintiff can perform, such as small products
 14 assembler, table worker, and packer inspector. Tr. 37.

15 STANDARD OF REVIEW

16 In *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001), the
 17 court set out the standard of review:

18 A district court's order upholding the Commissioner's
 19 denial of benefits is reviewed *de novo*. *Harman v. Apfel*,
 20 211 F.3d 1172, 1174 (9th Cir. 2000). The decision of the
 21 Commissioner may be reversed only if it is not supported
 22 by substantial evidence or if it is based on legal error.
 23 *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999).
 24 Substantial evidence is defined as being more than a mere
 25 scintilla, but less than a preponderance. *Id.* at 1098.
 26 Put another way, substantial evidence is such relevant
 27 evidence as a reasonable mind might accept as adequate to
 28 support a conclusion. *Richardson v. Perales*, 402 U.S.
 389, 401 (1971). If the evidence is susceptible to more
 than one rational interpretation, the court may not
 substitute its judgment for that of the Commissioner.
Tackett, 180 F.3d at 1097; *Morgan v. Commissioner of*
Social Sec. Admin., 169 F.3d 595, 599 (9th Cir. 1999).

The ALJ is responsible for determining credibility,
 resolving conflicts in medical testimony, and resolving
 ambiguities. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th
 Cir. 1995). The ALJ's determinations of law are reviewed

1 claimant can make an adjustment to other work; and (2) specific jobs
2 exist in the national economy which claimant can perform. *Batson v.*
3 *Commissioner of Social Sec. Admin.*, 359 F.3d 1190, 1193-94 (2004).
4 If a claimant cannot make an adjustment to other work in the
5 national economy, a finding of "disabled" is made. 20 C.F.R. §§
6 404.1520(a)(4)(I-v), 416.920(a)(4)(I-v).

7 **ISSUES**

8 The question presented is whether substantial evidence exists
9 to support the ALJ's decision denying benefits and, if so, whether
10 that decision is based on proper legal standards. Plaintiff argues
11 that the ALJ erred by failing to properly weigh the medical
12 evidence. ECF No. 15 at 13-14.

13 **DISCUSSION**

14 Plaintiff contends the ALJ erred by rejecting the September,
15 2008, assessment from Diane C. Beernink, ARNP, that indicated
16 Plaintiff was limited to sedentary work.² ECF No. 15 at 13-14. In
17 evaluating a disability claim, the ALJ must consider evidence from
18 medical sources. 20 C.F.R. §§ 404.1512, 416.912. Only "acceptable
19 medical sources" such as physicians and psychologists may establish
20 an impairment. 20 C.F.R. §§ 404.1513, 416.913. The ALJ is also
21 required to consider evidence from "other sources" which are not
22 acceptable medical sources. 20 C.F.R. §§ 404.1513(d), 416.913(d);
23 S.S.R. 06-03p. "Other sources" include nurse practitioners,
24

25 ²The ALJ gave significant weight to Ms. Beernink's letter dated
26 August 26, 2009, that indicated Plaintiff could no longer work as a
27 warehouse worker or fork lift operator. Tr. 36.
28

1 physician assistants, therapists, teachers, social workers, spouses
2 and other non-medical sources. 20 C.F.R. §§ 404.1513(d),
3 416.913(d). The opinion of an acceptable medical source is given
4 more weight than that of an "other source." 20 C.F.R. §§ 404.1527,
5 416.927; *Gomez v. Chater*, 74 F.3d 967, 970-71 (9th Cir. 1996).
6 However, the ALJ is required to "consider observations by
7 non-medical sources as to how an impairment affects a claimant's
8 ability to work." *Sprague*, 812 F.2d at 1232. An ALJ must give
9 "germane" reasons to discount evidence from "other sources."
10 *Dodrill v. Shalala*, 12 F.3d 915 (9th Cir. 1993). Ms. Beernink is a
11 nurse practitioner and an "other source" under 20 C.F.R. §
12 404.1513(d). The ALJ may therefore reject her opinion by providing
13 germane reasons. See *Dodrill*, 12 F.3d 915.

14 Diane Beernink, ARNP, examined Plaintiff on September 11, 2008.
15 Tr. 242-50. As a result of that evaluation, Nurse Beernink opined
16 that Plaintiff was limited to sedentary work. Tr. 243. The ALJ
17 gave this evaluation "little weight." Tr. 36. As the ALJ noted,
18 this was Nurse Beernink's first evaluation of Plaintiff and
19 Plaintiff had not yet obtained a diagnosis from an acceptable
20 medical source related to his shortness of breath, joint pain and
21 lumps on his sternum. Tr. 36. As noted above, only an acceptable
22 medical source can establish the existence of a medically
23 determinable impairment and provide medical opinions. See 20 C.F.R.
24 §§ 404.1502, 416.902. As a result, the ALJ did not err by giving
25 little weight to the diagnoses by a non-accepted medical source.

26 Plaintiff also argued that because Ms. Beernink worked "in
27 conjunction with medical doctors," specifically Jeff Butler, M.D.,
28 and Pavel Conovalciuc, M.D., the nurse's opinion should have been

1 treated as an opinion from an accepted medical source under *Gomez*.
2 However, Plaintiff's reliance upon *Gomez* is misplaced. The Social
3 Security Regulation³ relied upon in the *Gomez* ruling has been amended
4 and no longer includes "interdisciplinary team," under the
5 definition of "acceptable medical sources." See 20 C.F.R. §§
6 404.1513(a)(1-5), 416.913(a)(1-5). *Gomez* therefore does not apply.

7 Furthermore, while Plaintiff asserts that Ms. Beernink worked
8 "in conjunction with" other doctors, Plaintiff failed to identify
9 evidence in the record to support this claim. On independent
10 review, the record provides no support for the claim that Ms.
11 Beernink worked "in conjunction with" medical doctors in providing
12 Plaintiff's care. Additionally, neither Dr. Butler nor Dr.
13 Conovalciuc offered opinions about Plaintiff's ability to sustain
14 work. Tr. 352-53; 369-70. In sum, *Gomez* does not support
15 Plaintiff's argument, and the ALJ provided a germane reason for
16 giving little weight to Ms. Beernink's assessment of Plaintiff's
17 ability to work.

18 The ALJ also rejected Ms. Beernink's opinion because the
19 physical exam did not support her conclusion that Plaintiff was
20 limited to sedentary work. Tr. 36. The exam notes indicate Ms.
21 Beernink found Plaintiff had full range of motion in his right
22 shoulder, and a reduced range in his left shoulder. Tr. 247. He
23 also had full grip strength in both hands, as well as full strength
24 in his legs and feet. Tr. 247. Ms. Beernink noted that Plaintiff
25 walked "fluidly without assistive device." Tr. 247. In short, the
26 exam notes do not reveal objective medical evidence that limits

27
28 ³20 C.F.R. § 416.913(a)(6).

1 Plaintiff to sedentary work. A discrepancy between chart notes
2 reflecting observations regarding a patient and a physician's
3 assessment of the patient's physical abilities is a "clear and
4 convincing" reason for not relying on the doctor's opinion regarding
5 the patient's limited abilities. *Bayliss v. Barnhart*, 427 F.3d
6 1211, 1216 (9th Cir. 2005). The ALJ's rejection of Ms. Beernink's
7 opinion that Plaintiff could perform only sedentary work was based
8 upon a permissible determination within the ALJ's province.

9 Finally, Plaintiff complains that the ALJ relied upon only the
10 opinion of a single decision maker who is not a doctor or medical
11 consultant. ECF No. 15 at 13-14. However, Plaintiff failed to
12 provide briefing, analysis, authority, or argument other than the
13 bare assertion. As a result, the court may not review this issue.
14 *See Carmickle v. Comm'r Soc. Sec. Admin.*, 533 F.3d 1155, 1161 n.2
15 (9th Cir. 2008)(court will not consider matters on appeal that are
16 not specifically and distinctly argued).⁴

17 CONCLUSION

18 Having reviewed the record and the ALJ's findings, the court
19 concludes the ALJ's decision is supported by substantial evidence
20 and is not based on legal error. Accordingly,

21 IT IS ORDERED:

22 1. Defendant's Motion for Summary Judgment, **ECF No. 16**, is
23 **GRANTED**.

24 2. Plaintiff's Motion for Summary Judgment, **ECF No. 15**, is
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26 ⁴Moreover, it appears the issue is without merit - the ALJ
27 explicitly relied upon the opinion of Alfred Scottolini, M.D., in
28 determining Plaintiff's RFC. Tr. 35; 348.

1 **DENIED.**

2 The District Court Executive is directed to file this Order and
3 provide a copy to counsel for Plaintiff and Defendant. Judgment
4 shall be entered for **DEFENDANT** and the file shall be **CLOSED**.

5 DATED July 11, 2013.

6
7 S/ CYNTHIA IMBROGNO
8 UNITED STATES MAGISTRATE JUDGE
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